

CERTIFICATE OF EXPRESS MAIL

NUMBER: EL 780052768 US

DATE: February 7, 2002



PATENT

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RPR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Russell Mumper
Michael Jay

Serial No.: Unknown

Filed: Concurrently Herewith

For: pH-SENSITIVE MUCOADHESIVE FILM-
FORMING GELS AND WAX-FILM
COMPOSITES SUITABLE FOR
TOPICAL AND MUCOSAL DELIVERY
OF MOLECULES

Group Art Unit: 1616

Examiner: Dewitty, Robert

Atty. Dkt. No.: NANO:002USD1

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Commissioner:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed ~~on~~ attached Form PTO-1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R. §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to

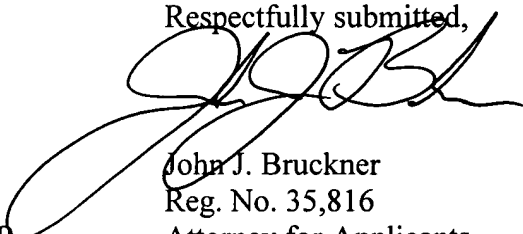
be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Fulbright & Jaworski Account No.: 50-1212/08010548/NANO:002USD1/JJB.

This application is a divisional application of Serial No. 09/748,133, filed December 27, 2000, and is relied upon for an earlier filing date under 35 U.S.C. § 120. In accordance with Rule 37 C.F.R. § 1.98(d) copies of the listed documents are not enclosed as they have been previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. 09/748,133.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



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Date: February 7, 2002

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)		ATTY. DOCKET NO. NANO:002USD1		SERIAL NO. Unknown		
		APPLICANT Russell MUMPER, et al.				
		FILING DATE Concurrently Herewith		GROUP 1616		
U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
FOREIGN PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation
						Yes
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
	Aspden, T.J., Adler, J., Davis, S.S., Skaugrud, O., Illum, L. Chitosan as a nasal delivery system: evaluation of the effect of chitosan on mucociliary clearance rate in the frog palate model. <i>Int. J. Pharm.</i> 122:69-78, 1995.					
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EXAMINER			DATE CONSIDERED			

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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
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